Advisory Account Portfolio
Review Log

OPPENHEIMER

Personal Information

Financial Advisor:		FA#:		
		Branch:		
Client Name:		Conservator/Trustee:		
Date of Meeting:		☐ Phone	☐ In	☐ Out
Attendees:				
Account#:	Type:	Model:	Fee:	Blocked?

Topics discussed during review meeting:

Economy in general	☐	Specific securities in portfolio	☐
Direction of financial markets	☐	Short term outlook / recommendations	☐
Investment objectives	☐	Long term outlook / recommendations	☐
Risk tolerance	☐	Changes in personal / financial profile	☐
Change in model	☐	Performance of the account	☐

Performance of the Portfolio

Notes on the Portfolio

Concentrated positions *(if so, explain)*

Darryl J. Lynch, AIF®
Managing Director – Investments
Oppenheimer & Co. Inc.
500 108th Avenue NE
Suite 2100
Bellevue, WA 98004
(425) 709-0404
darryl.lynch@opco.com

Conservator/Trustee Signature Date of Review

Financial Advisor’s Signature Date of Review